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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. **USP1058H-JWT**  
First Inventor **Joseph Wing On TAM**  
Title **Method for Making Secured Personal**  
**For Validation and Obtaining...**  
Express Mail Label No. **EL 745709482US**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
*(Include no request and a declaration for fee payment)*
- ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
- ☒ Specification [Total Pages **20**]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **02**]
- Oath or Declaration [Total Pages **1**]
  - ☐ Newly executed (original or copy)
  - ☐ Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*
  - ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.133(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - ☐ Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ paper
  - ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers: (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
*(when there is an assignee)*
- ☐ English Translation Document *(if applicable)*
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
- ☐ Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
- ☐ Request and Certification under 35 U.S.C. 122 b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group: All Unit \_\_\_\_\_  
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label or ☒ Correspondence address below

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Name (Print/Type) **Raymond Y. Chan** Registration No. (Attorney/Agent) **37,484**  
Signature *[Signature]* Date **03/29/2001**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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In the United States Patent and Trademark Office

Commissioner of Patents and Trademarks  
Washington, District of Columbia 20231

Mailed March 29, 2001  
Docket No.: USP1058H-JWT

Sir:

Please file the following enclosed patent application papers:

Applicant #1, Name: Joseph Wing On TAM

Method for Making Secured Personal Identity Card and Procedure for  
Validation and Obtaining Secure Personal Information

(Regular Application for Provisional Application NO.: 60/193,950;  
Title: filed: March 31, 2000)

☒ Specification, Claims, and Abstract: Nr. Of Sheets 20

☐ Declaration: Date Signed: \_\_\_\_\_

☒ Drawing(s): Nr. Of Sheets Enc.: (In Triplicate): Format: 2 Informal: \_\_\_\_\_

☒ Small Entity Declaration Of Inventor(s) ☐ SED of Non-Inventor / Assignee / Licensee

☐ Assignment; please record and return; recordal fee enclosed.

☐ Check for \$ \_\_\_\_\_ for:

☐ \$ \_\_\_\_\_ for filing fee (

☐ \$ \_\_\_\_\_ Additional of Assignment is enclosed for recordal.

Very respectfully,




Signature  
Raymond Y. C. Chan  
Reg. Nr. : 37,484  
1050 Oakdale Lane,  
Arcadia, CA 91006

Certificate of Mailing

Express Mail: EL 745709482 US

I hereby certify that this paper or fee is being deposited with the United States Postal Service using "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to "Commissioner of Patents and Trademarks, Washington, DC 20231".

Signature:  Date: 03/30/2001